



(AMD-H0981)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 039153-0667

In re patent application of

Qi XIANG

Examiner: Unassigned

Serial No.: 10/684,727

Group Art Unit: Unassigned

Filed: 10/14/2003

For: STRAINED SILICON MOSFET HAVING IMPROVED CARRIER MOBILITY,  
STRAINED SILICON CMOS DEVICE, AND METHODS OF THEIR FORMATION

**CERTIFICATE OF MAILING**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450..

1. Information Disclosure Statement; Form PTO/SB/08; copy of one (1) reference.
2. Return receipt postcard.

Respectfully submitted,

Date: January 22, 2004

By Ronald Coslick

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Atty. Dkt. No. 030153-0667  
AMD-H0981

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Qi XIANG

Title: STRAINED SILICON MOSFET HAVING IMPROVED  
CARRIER MOBILITY, STRAINED SILICON CMOS  
DEVICE, AND METHODS OF THEIR FORMATION

Appl. No.: 10/684,727

Filing Date: 10/14/2003

Examiner: Unassigned

Art Unit: 2812

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.



Atty. Dkt. No. 030153-0667  
AMD-H0981

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits and, accordingly, no fee is due for consideration of the items listed on the enclosed Form PTO/SB/08.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

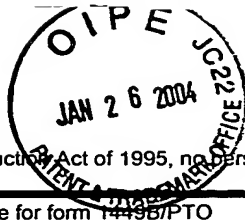
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<b>Substitute for form 1449B/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  <b>Date Submitted: January 22, 2004</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	10/684,727
<b>Sheet</b> 1 <b>of</b> 1				<b>Filing Date</b>	10/14/2003
				<b>First Named Inventor</b>	Qi Xiang
				<b>Group Art Unit</b>	2812
				<b>Examiner Name</b>	Unassigned
				<b>Attorney Docket Number</b>	039153-0667 (AMD H0981)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6,358,806		Puchner	03-19-2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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